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<b>Who was involved in the QIP development:</b> Region VII RICC – See attached membership list Michelle Hougen, BECEP Program Coordinator Roxane Romanick, RICC Coordinator and Experienced Parent Dorothy Larson, Standing Rock Early Childhood			
<b>What data was reviewed to support findings?</b> Data from ASSIST; December 1, 2005 618 data; Child Outcomes Measurement tool; Family Outcomes Measurement Tool; File Review Data; Compliance Review Data; BECEP and Standing Rock Referral and Eligibility data			
<b>Focus Group?</b> No			

#### Executive Summary:

Please provide an executive summary of the team's findings in the research and analysis of data. You will want to include the major points that will be discussed in the rest of the plan. Highlight the accomplishments of the region, compare the regional data with state and federal targets, and provide an overview of what will be addressed in the coming year for improvement issues. Please make certain that you address the issues that are the focus of your improvement plan.

Region VII has a long-term commitment to serving infants and toddlers with special needs. From the inception of services, families and children have been served through a home visiting model, focusing on natural environments and natural learning routines. Families have been viewed as the primary teacher in their child's life and supports have been provided to the family as well as the child. Families are linked quickly with their primary early interventionist. Efforts are made to have one of the initial evaluators establish a long-term relationship with the family and child as well as the initial DD case manager. We have become more conscientious about addressing the need for additional consultative services. This improvement plan will address the issue of how consultation is provided and communicated to a family and their IFSP team. We continue to understand the need to establish relationships with other care providers, such as child care and other pediatric service providers, such as physicians, and community therapists.

Adequate and responsive funding has continued to be problematic for this region. BECEP's unit rate has not allowed for the growth needed to support lower caseload numbers, increased time for consultation, and additional supervisory time. This improvement plan will address the continuing concern of adequate staffing patterns and funding needed to meet the needs of children in this region. Region VII RICC has requested a quarterly update on the budget and staffing patterns.

This region has been serving the most children in the state, surpassing the state average and the state target for both children under one year old and children under three, as well as the federal targets. We continue to be concerned that while our numbers may be adequate on paper, we are not reaching the population of children that live in the rural parts of our region or who are at-risk for developmental delays. We continue to want to see an increase in the Sioux County percentage served and several improvement activities address this issue. We also

want to track the number of CAPTA referrals, both for screening and evaluation that we receive. We continue to work closely with the two major hospitals and their Neonatal Intensive Care Units. Because of these relationships, we are reaching families with premature infants prior to hospital discharge. In three situations, experienced parent and case management staff have met with families who are expecting children with unique needs prior to birth.

All staff in Region VII have worked diligently to improve the quality of their discussions with families and the documentation (including IFSPs). State-wide training, staff initiative on developing local procedure, and region-wide access to ASSIST has also helped this effort. Case review teams have worked together at both Standing Rock Early Childhood and BECEP. Standing Rock has completed the Accreditation Council process for their licensure requirement. While the documentation of services has improved significantly, there are areas of service that need improvement. We continue to need to address working with families who are difficult to engage and families who have children with behavioral challenges. We also need to improve our skills in working with assistive technology.

Region VII had a successful turn-out for their regional Transition Guidelines training in the fall of 2006. Several parents were included and involved in the break-out groups. Out of the 10 special education units that Region VII, seven were present. The training increased awareness around many issues relevant to the transition process. We continue to struggle with addressing services summer months, extended school year, joint assessments, least restrictive environments, and timely eligibility for some children with language delays. It was the decision of Region VII RICC to continue to meet with the transition partners on an annual basis to address these concerns.

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.**

Measurement:  $\text{Percent} = \frac{\# \text{ of infants and toddlers with IFSPs who receive the EI service on their IFSPs in a timely manner}}{\text{total \# of infants and toddlers with IFSPs}} \times 100$ .

**Overview of Issue/Description of System Process:**

Numerator is the number of children of whom all services were received in a timely manner divided by the number of children whose files were reviewed. If a child had more than one service and not all services were received in a timely manner then the file was counted as out of compliance completely. Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

**Baseline Data:** 4 infants and toddlers with IFSPs received early intervention services on their IFSPs in a timely manner divided by 9 infants and toddler with IFSPs times 100 = **44.44 percent**.

**Discussion of Baseline Data:**

	Jul-Sept. 06 Region 7	Oct.-Dec. 06 Region 7	Jan.-Mar. 07 Region 7	Apr.-Jun. 07 Region 7	Overall State
# Children	9				27
# Services Delivered timely	4				16
%	44.44%				59.26%

Example: There is/are 10 service(s) being provided to 9 child(ren). Of those services, 6 service(s) is/are not being received in a timely manner; however some children receive more than one service. Of the 9 child(ren) receiving services, 4 are receiving all their services in a timely manner.

Region VII is out of compliance on this indicator. This is primarily due to how the start date of services is being recorded on the IFSP/ISP's. It appears that Infant Development (or the start of visits with the Early Interventionist) starts on a regular basis as soon as the IFSP is completed. However, the start of

consultative services is not always occurring by the start date indicated on the IFSP. The following activities have occurred to address this issue:

- Conversation between DD Case Management and Infant Development has occurred so that the appropriate dates are being documented on the ISP and IFSP.
- Staff discussion regarding having intentional discussions with families about the start date of specific consultative services (i.e. how soon does the family need assistance/consultation from a speech therapist).
- State changes in the Quality Enhancement Review that is completed by DD Case Management will capture the start dates for all services included on the IFSP.
- Administration continues to advocate for increased funding for the BECEP program to hire the appropriate number of staff and contracted staff to serve families in a timely manner.

Presently, the addition of this indicator has increased awareness to have intentional discussions about the start date of all services when the IFSP is written.

There continues to be unclear expectations about what constitutes "consultative services" and this will be addressed in the improvement activities. There is also a lack of direct communication and documentation between DD Case Management, Infant Development providers, and families on when consultation occurs. This will also be addressed in the improvement activities.

It is noted that currently Standing Rock Early Childhood Infant Development Program is felt to be adequately staffed to meet the current needs.

#### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2006 (2006 - 2007)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2007 (2007 - 2008)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2008 (2008 - 2009)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2009 (2009 - 2010)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2010 (2010 - 2011)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within

#### Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Continue discussions with state Developmental Disabilities State Office to provide adequate funding for serving EI families.	12-30-07/ongoing	BECEP Program Coordinator/Executive Director

2. Develop policy and procedure on informing families of consultative input. To include: <ul style="list-style-type: none"> <li>• Different methods of providing consultation</li> <li>• Documentation of consultation</li> <li>• Communication to child's team members of consultation information</li> <li>• Use of contracted staff</li> <li>• Use of non-EI service providers</li> </ul>	12-30-07	BECEP and Standing Rock Program Coordinators Region VII RICC Approval
3. Work with DD state office to update Frequently Asked Questions: MA and Early Intervention	12-30-07	Experienced Parent Specialist

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive EI services in the home or programs for typically developing children.**

Measurement:  $\text{Percent} = \frac{\# \text{ of infants and toddlers with IFSPs who receive EI services in the home or programs for typically developing children}}{\text{total \# of infants and toddlers with IFSPs}} \times 100$ .

**Overview of Issue/Description of System Process:**

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 2 R7 Quarterly'.

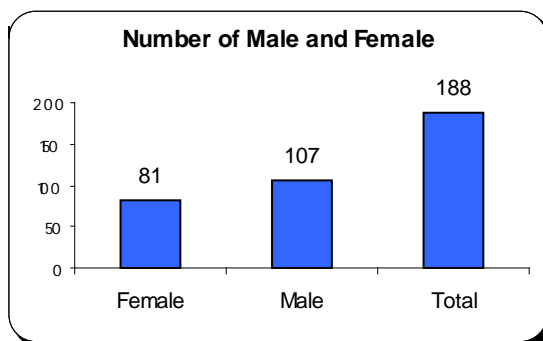
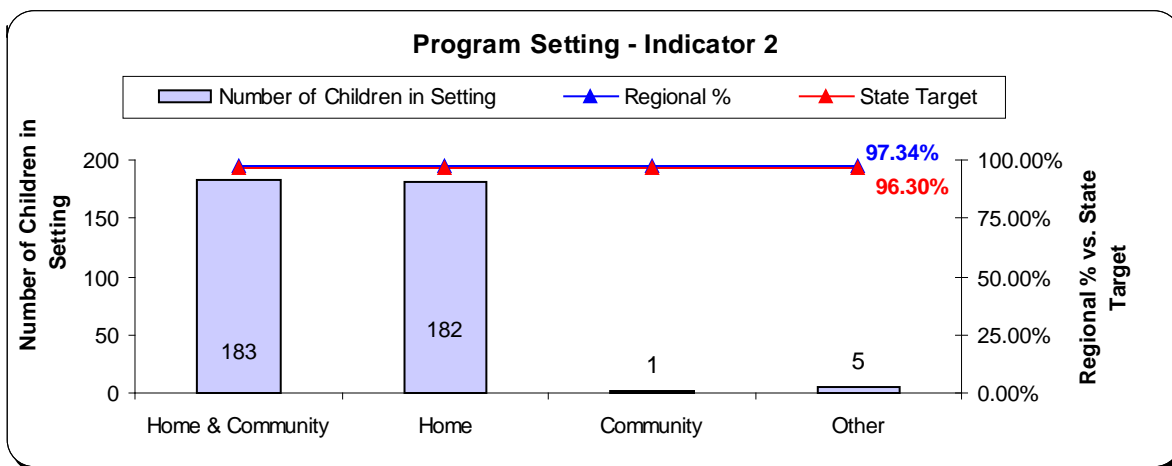
**Baseline Data:** 159 infants and toddlers with IFSPs received early intervention services in the home or programs for typically developing children divided by 159 infants and toddler with IFSPs times 100 = **100 percent**.

**Discussion of Baseline Data:**

There are 188 infants and toddlers being served in Region 7. Of those, one child was receiving services in a program for typically developing children and the other 182 children are receiving services in their home. The data indicated that five infants and toddlers are being served in other settings; however after inspecting the data, it was determined that all five were being served at home. We also note that during this time period, one of the children was being served in the hospital. Therefore, 99.5% are being served in the home or program for typically developing children. The State target for FFY 2005-2006 is for 96.3% of infants and toddlers with IFSPs will primarily receive Early Intervention services in their home or programs for typically developing children. According to the data, our region is exceeding the State target.

North Dakota and Region VII continue to maintain their commitment towards serving children in ways that optimize the discussion of natural learning opportunities within their daily routines.

Charts indicate data as provided by the DD state office prior to data correction:



	Jul-Sept. 06 Region 7	Oct.-Dec. 06 Region 7	Jan.-Mar. 07 Region 7	Apr.-Jun. 07 Region 7	State Target
# Children	159	189	188		
Male	N/A	103	107		
Female	N/A	82	81		
Home & Community	159	180	183		
Male	N/A	101	104		
Female	N/A	79	79		
Other	0	5	5		
Male	N/A	2	3		
Female	N/A	3	2		
Bad Data	N/A	1	N/A		
Male	N/A	1	N/A		
Female	N/A	0	N/A		
% in Home/Community	100.0%	97.30%	97.34%		96.30%

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2006 (2006 - 2007)	96.4% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2007 (2007 - 2008)	96.5% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2008 (2008 - 2009)	96.6% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2009 (2009 - 2010)	96.8% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2010 (2010 - 2011)	97% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. BECEP, Standing Rock Early Childhood, and DD Case management staff will attend training with Dr. Robin McWilliam on the primary service provider model.	10-31-07	BECEP and Standing Rock Program Coordinators
2. Employ appropriate number of staff and contracted staff in different areas of expertise to serve EI families in all areas of the region.	10-01-07 and review 4/30/08	BECEP Program Coordinator
3. Hold community forum with Dr. McWilliam, community providers, and families to promote the primary service provider model	10-31-07	Experienced Parent Specialist
4. Quarterly report to Region VII RICC regarding Infant Development and DD Case Management staffing patterns	Quarterly review, Review activity on 4-30-08	BECEP and Standing Rock Program Coordinators, DD Program Administrator
5. Region VII RICC will review the state guidelines regarding justification of services not provided in the natural environment and provide feedback to the state office. <ul style="list-style-type: none"> <li>Region VII RICC will volunteer representatives to the State Work Group</li> </ul>	6-30-08	RICC Chairs
6. Develop collaborative working plan with Child Care Resource and Referral to address parent and provider training needs	6-30-08	BECEP and Standing Rock Program Coordinators

7. Conduct annual assessment of technology needs for Infant Development and Case Management programs	6-30-08	BECEP and Standing Rock Program Coordinators, DD Program Administrator
8. Conduct an annual meeting of community pediatric service providers and Early Intervention staff.	11-15-07	Experienced Parent Specialist
9. Region VII Early Intervention staff will have access to information/training relating to assistive technology for young children	6-30-08	BECEP and Standing Rock Program Coordinators, DD Program Administrator
10. Region VII Infant Development providers will have working contractual agreements with assistive technology experts.	6-30-08	BECEP and Standing Rock Program Coordinators

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:**

- A. Positive social-emotional skills (including social relationships);**
- B. Acquisition and use of knowledge and skills (including early language/communication); and**
- C. Use of appropriate behaviors to meet their needs.**

Measurement:

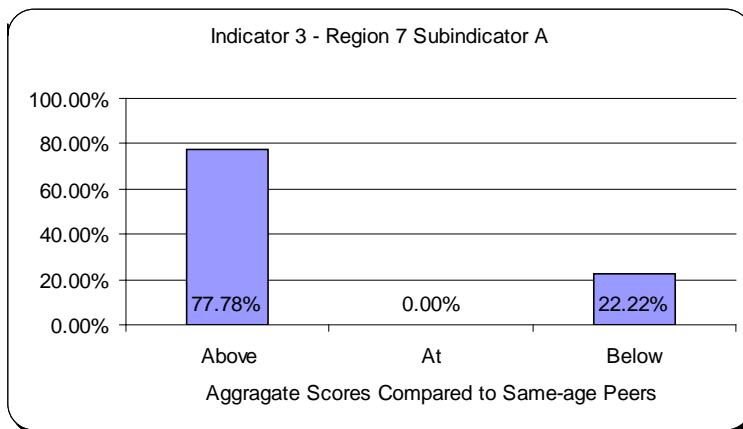
- A. Percent = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100;
- B. Percent = # of infants and toddlers who improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100; and
- C. Percent = # of infants and toddlers who did not improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100.

If children meet the criteria for A, report them in A. Do not include children reported in A in the B or C measurement. If A + B + C does not sum 100%, explain the difference.

**Overview of Issue/Description of System Process:**

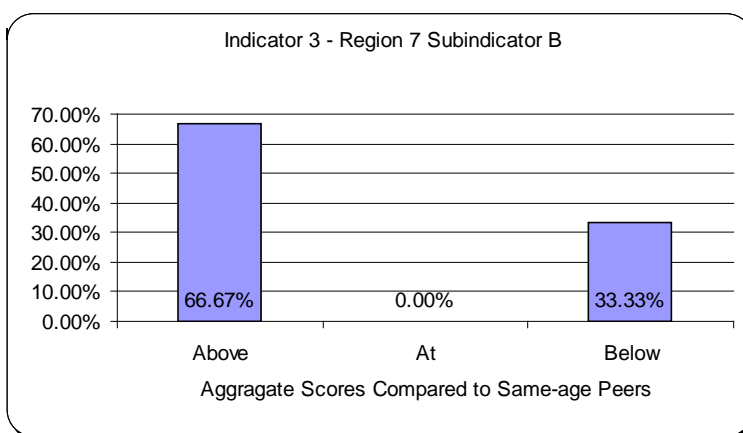
**Baseline Data:**

**A. Positive social-emotional skills (including social relationships);** Baseline data indicate that of the 9 files of 18 files provided are clean; 77.78% children are functioning above age level, 0% are functioning at age level, and 22.22% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=	Sub Indicator A 10/1/06			N	Sub Indicator A 4/1/07		
		Above	At	Below		Above	At	Below
		0	1	1		7	0	2
		0.00%	50.00%	50.00%		77.78%	0.00%	22.22%

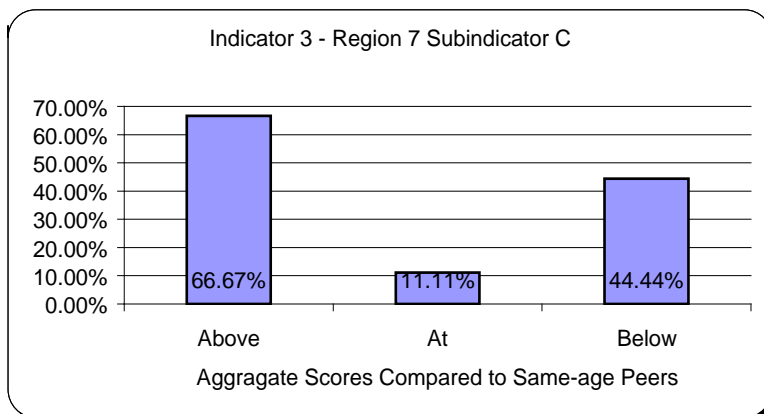
**B. Acquisition and use of knowledge and skills (including early language/communication);** Baseline data indicate that of the 9 files of 18 files provided are clean; 66.67% children are functioning above age level, 0% are functioning at age level, and 33.33% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=	Sub Indicator B 10/1/06			N	Sub Indicator B 4/1/07		
		Above	At	Below		Above	At	Below
		0	1	1		6	0	3
		0.00%	50.00%	50.00%		66.67%	0.00%	33.33%

**C. Use of appropriate behaviors to meet their needs.** Baseline data indicate that of the 9 files of 18 files provided are clean; 66.67% children are functioning above age level, 11.11% are functioning at age level, and

44.44% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=	Sub Indicator C 10/1/06			N	Sub Indicator C 4/1/07		
		Above	At	Below		Above	At	Below
		0	0	2		6	1	4
		0.00%	0.00%	100.00%		66.67%	11.11%	44.44%

**Discussion of Baseline Data:** Region 7 had 18 files with Child PAR data provided by the state through the ASSIST system query (Data pulled from ASSIST Child PAR and provided in excel workbook on Indicator 3 tab.) Of those, 9 contained data errors. Therefore, 9 files were used for baseline data. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.

Use of the Child PAR is new to Region 7 Infant Development staff both at BECEP and at Standing Rock. It is difficult to know what inconsistencies are occurring to cause 9 files with data errors.

The data presented in this RQIP indicates that a higher percentage of children entering during this time frame were performing above average in all three outcome areas. We will continue to monitor this data and assess how this affects exit data.

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Schedule joint meeting with BECEP and Standing Rock staff on the use of the Child PAR tool to: <ul style="list-style-type: none"> <li>Review current implementation practices</li> <li>Review current concerns with tool</li> <li>Report outcome of meetings to the State DD office</li> </ul>	6-30-08	BECEP and Standing Rock Program Coordinators
2. Request data report from the state office that correlates the Child Par scores with reason for eligibility.	12-30-07	BECEP Program Coordinator
3. BECEP Infant Development staff will have training in working with children with challenging behaviors	6-30-08	BECEP Program Coordinator

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 4: Percent of families participating in Part C who report that EI service have helped the family:**

- A. Know their rights;**
- B. Effectively communicate their children's needs; and**
- C. Help their children develop and learn.**

Measurement:

- A. Percent = # of respondent families who report that EI services have helped the family know their rights divided by the total # of respondent families participating in Part C times 100;
- B. Percent = # of respondent families who report that EI services have helped the family effectively communicate their children's needs divided by the total # of respondent families participating in Part C times 100; and

- C. Percent = # of respondent families who report that EI services have helped the family help their children develop and learn divided by the total # of respondent families participating in Part C times 100.

**Overview of Issue/Description of System Process:**

Data is collected through a Family Survey. Results are located in the Excel file on tab labeled 'Indicator 4'.

**Baseline Data:**

**A. Respondents who feel that EI has helped their family know and understand their rights:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
7	59	54	91.53%
<b>Statewide</b>	<b>213*</b>	<b>180</b>	<b>84.51%</b>

\*2 respondents skipped this question.

**B. Respondents who feel that EI has helped their family effectively communicate their child's needs:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
7	59	58	98.31%
<b>Statewide</b>	<b>212</b>	<b>188</b>	<b>88.68%</b>

\*3 respondents skipped this question.

**C. Respondents who feel that EI has helped their family to be able to help their child develop and learn:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
7	59	54	91.53%
<b>Statewide</b>	<b>213*</b>	<b>183</b>	<b>85.92%</b>

\*2 respondents skipped this question.

**Discussion of Baseline Data:** Region VII services have a long history of family-centered services. Efforts are made to have discussions with families that are beyond the scope of just child development. Efforts are made to connect families with outside community supports and information. Region VII has chosen to adopt the Experienced Parent project because of staff belief in parent-to-parent support.

Both BECEP and Standing Rock Early Childhood have umbrella services that increase the opportunities for parent education and training. This is a strength for both programs.

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Determine Standing Rock Early Childhood need for Experienced Parent Specialist by assessing parent and staff interest.	9-30-07 and ongoing	Experienced Parent Specialist
2. Conduct annual parent interest survey to determine annual parent training needs (via phone, visit, and/or mail)	9-30-07	Experienced Parent Specialist
3. Annually, update 3-ring family binders. Include a section on community resources and service providers.	6-30-08	Experienced Parent Specialist
4. Information regarding Early Intervention services and parent rights will be developed in visual/audio formats.	11-15-07	Experienced Parent Specialist

Monitoring Priority: Effective General Supervision Part C/Child Find

**Part C Priority Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:  
A. State data.**

Measurement:

A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to North Dakota.

**Overview of Issue/Description of System Process:**

### Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 7 early intervention system was serving 36 infants and toddlers birth to 1. The total population of Region 7 infants and toddlers birth to 1 was 1505. **2.39 percent** of the total population under 1 was served.

### Discussion of Baseline Data:

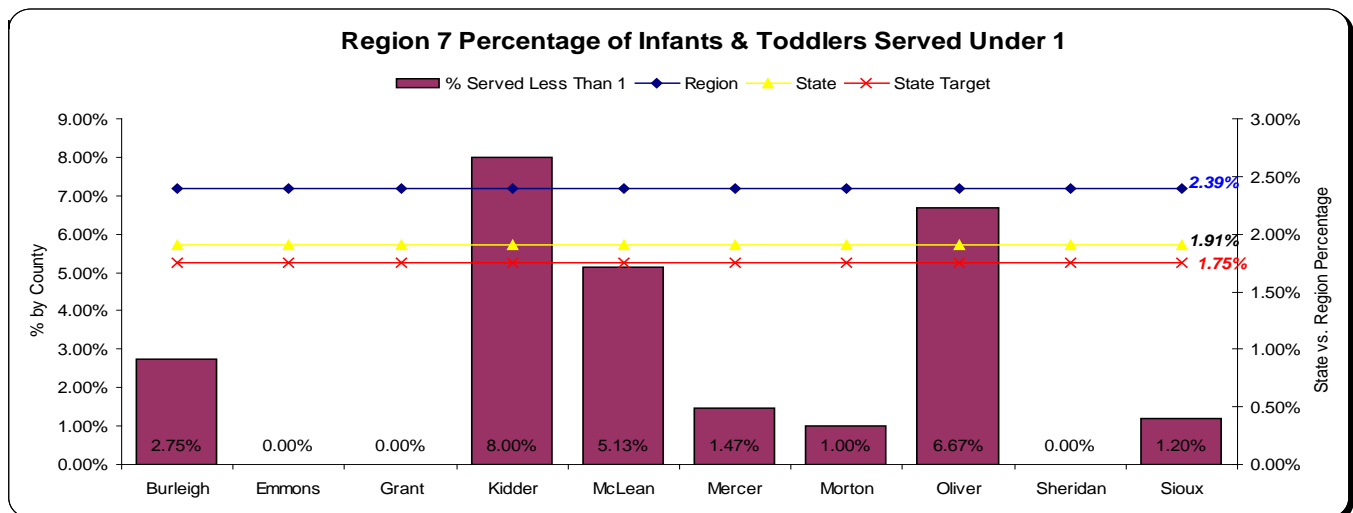
All counties of significant size are meeting the national standard. Sioux county has not achieved the national standard for the past several years. They became an Infant Development provider on 7-1-06 and currently maintain their own Right Track program. They are presently serving 1.2% of their population.

Region VII has developed a strong relationship with the region's major hospitals and their Neonatal Intensive Care Units. This has assisted in the referral of many premature infants and other infants with special health care needs to the Early Intervention system prior to hospital discharge.

### Percentage of Infants and Toddlers Served

County #	County	Less Than 1 in ID on 4/01/2007	Children Less Than 1 Living in County	% Served Less Than 1
8	Burleigh	24	873	2.75%
15	Emmons	0	34	0.00%
19	Grant	0	23	0.00%
22	Kidder	2	25	8.00%
28	McLean	4	78	5.13%
29	Mercer	1	68	1.47%
30	Morton	3	299	1.00%
33	Oliver	1	15	6.67%
42	Sheridan	0	7	0.00%
43	Sioux	1	83	1.20%
<b>Region VII</b>		<b>36</b>	<b>1505</b>	<b>2.39%</b>
<b>State</b>		<b>146</b>	<b>7,660</b>	<b>1.91%</b>
<b>State Target</b>				<b>1.75%</b>

4/1/07



8/6/2007

### Percentage of Infants and Toddlers Served under 1

	Jul-Sept. 06 <u>Region 7</u>	Oct.-Dec. 06 <u>Region 7</u>	Jan.-Mar. 07 <u>Region 7</u>	Apr.-Jun. 07 <u>Region 7</u>	Current Qtr. <u>Percentage</u>
Burleigh	23	31	24		2.75%
Emmons	0	0	0		0.00%
Grant	1	1	0		0.00%
Kidder	2	2	2		8.00%
McLean	0	3	4		5.13%
Mercer	2	2	1		1.47%
Morton	7	12	3		1.00%
Oliver	0	0	1		6.67%
Sheridan	0	0	0		0.00%
Sioux	0	2	1		1.20%
<b>Region VII</b>	<b>35</b>	<b>53</b>	<b>36</b>		<b>2.39%</b>
State	146	146	146	146	1.91%
Percentage	2.33%	3.52%	2.39%	%	-
State Target	-	-	-	-	<b>1.75%</b>

### Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Burleigh	N/A	N/A	15	16	16	8		
Emmons	N/A	N/A	0	0	0	0		
Grant	N/A	N/A	0	1	0	0		
Kidder	N/A	N/A	0	2	2	0		
McLean	N/A	N/A	2	1	1	3		
Mercer	N/A	N/A	0	2	1	0		
Morton	N/A	N/A	7	5	2	1		
Oliver	N/A	N/A	0	0	0	0		
Sheridan	N/A	N/A	0	0	0	0		
Sioux	N/A	N/A	0	2	1	0		

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	1.75 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	1.78 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	1.81 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	1.84 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	1.87 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	1.90 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Develop and distribute materials to medical providers regarding importance of developmental screenings and referrals to Early Intervention services	6-30-08	BECEP and Standing Rock Program Coordinators
2. Meet annually with NICU staff to facilitate referrals of premature and high risk infants	6-30-08	Right Track and Experienced Parent staff

Monitoring Priority: Effective General Supervision Part C/Child Find

**Part C Priority Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:**  
**A. State data.**

Measurement:

A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to North Dakota.

**Baseline Data:**

Quarterly data indicated that on April 1, 2007, the Region 7 early intervention system was serving 193 infants and toddlers birth to 3. The total population of Region 7 infants and toddlers birth to 3 was 4,607. **4.19 percent** of the total population under 3 was served.

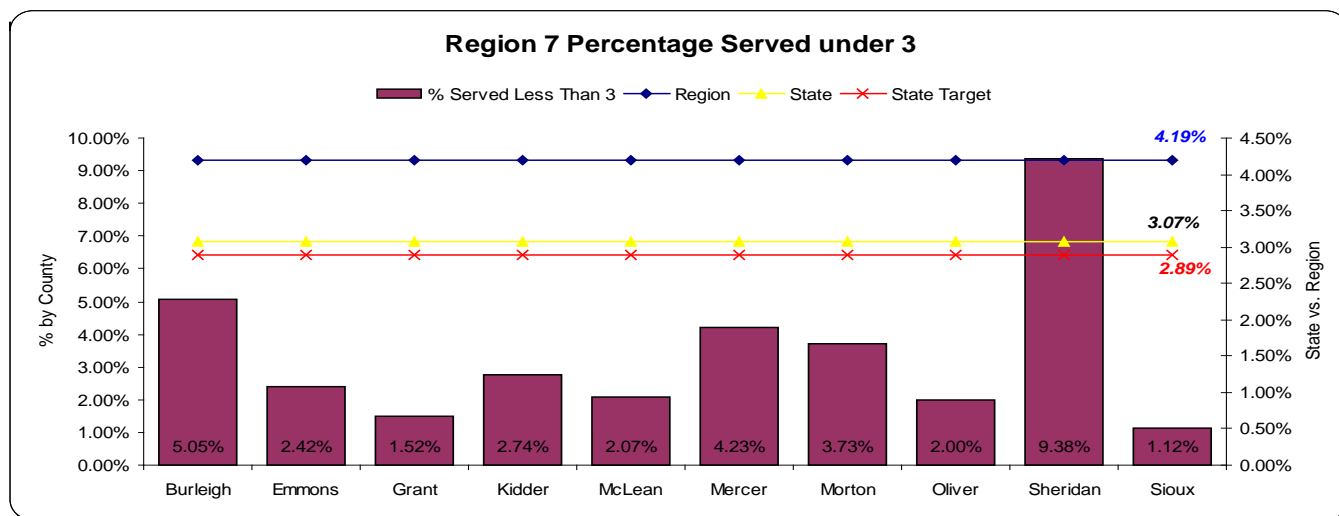
### Discussion of Baseline Data:

Region VII continues to perform above the state average and the national standard. We continue to monitor the number served in Sioux county.

### Percentage of Infants and Toddlers Served

County #	County	Number in ID on 4/01/2007	Children Less Than 3 Living in County	% Served Less Than 3
8	Burleigh	130	2,575	5.05%
15	Emmons	3	124	2.42%
19	Grant	1	66	1.52%
22	Kidder	2	73	2.74%
28	McLean	5	242	2.07%
29	Mercer	9	213	4.23%
30	Morton	36	965	3.73%
33	Oliver	1	50	2.00%
42	Sheridan	3	32	9.38%
43	Sioux	3	267	1.12%
<b>Region VII</b>		<b>193</b>	<b>4,607</b>	<b>4.19%</b>
<b>State</b>		<b>718</b>	<b>23,357</b>	<b>3.07%</b>

4/1/07



8/6/2007

### Percentage of Infants and Toddlers Served under 3

	Jul-Sept. 06 <u>Region 7</u>	Oct.-Dec. 06 <u>Region 7</u>	Jan.-Mar. 07 <u>Region 7</u>	Apr.-Jun. 07 <u>Region 7</u>	Current Qtr. <u>Percentage</u>
Burleigh	114	124	130		5.05%
Emmons	2	3	3		2.42%
Grant	1	1	1		1.52%
Kidder	3	2	2		2.74%
McLean	5	6	5		2.07%
Mercer	10	9	9		4.23%
Morton	43	35	36		3.73%
Oliver	1	1	1		2.00%
Sheridan	3	2	3		9.38%
Sioux	0	5	3		1.12%
<b>Region VII</b>	<b>182</b>	<b>188</b>	<b>193</b>		<b>4.19%</b>
State	718	718	718	718	3.07%
Percentage	3.95%	4.08%	4.19%	%	-
State Target	-	-	-	-	<b>2.89%</b>

### Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Burleigh	N/A	N/A	53	71	78	52		
Emmons	N/A	N/A	2	1	1	2		
Grant	N/A	N/A	0	1	1	0		
Kidder	N/A	N/A	0	2	2	0		
McLean	N/A	N/A	4	2	1	4		
Mercer	N/A	N/A	4	5	5	4		
Morton	N/A	N/A	16	19	20	16		
Oliver	N/A	N/A	1	0	0	1		
Sheridan	N/A	N/A	1	1	1	2		
Sioux	N/A	N/A	2	3	1	2		

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	2.89 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	2.98 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	3.07 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	3.16 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	3.25 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	3.34 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1.. In Sioux county, involve Experienced Parent staff at time of intake.	12-30-07	Experienced Parent staff
2. Standing Rock and BECEP Right Track staff will develop a working relationship with County Social Services and Tribal Social Services to facilitate successful referrals.	6-30-08	BECEP and Standing Rock Right Track staff
3. Develop a data base to track disposition of CAPTA referrals.	12-31-07	DD Program Administrator
4. Standing Rock will develop a referral/eligibility data base that will: <ul style="list-style-type: none"> <li>• Track # of referrals</li> <li>• Document disposition of referrals</li> <li>• Document number of attempts made to locate families</li> </ul>	12-31-07	Standing Rock Program Coordinator
5. Provide quarterly report to RICC on Right Track service numbers from both Standing Rock and BECEP and seek RICC input for improvement activities. Report to include: <ul style="list-style-type: none"> <li>• Referral sources</li> <li>• Location of children served</li> <li>• # of visits/# of children</li> </ul>	Implement by 12-30-07	Right Track staff

• Funding/Budget status		
6. Increase # of children screened out of in all areas of region (excluding Bismarck-Mandan)	6-30-08	Right Track staff
7. Review and update the regional special populations list annually/Maintain a regional childfind partner's directory	6-30-08	RICC
8. Conduct an annual satisfaction survey with referral sources	6-30-08	RICC Coordinator/Right Track staff

Monitoring Priority: Effective General Supervision Part C/Child Find

**Part C Priority Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.**

Measurement:

Percent = # of eligible infants and toddlers birth to 1 with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

**Overview of Issue/Description of System Process:**

**Baseline Data:**

From X date to x date, xx eligible infants and toddlers had evaluations, assessments and an initial IFSP meeting conducted within 45 days of referral. Xx infants and toddlers were found eligible. Xx percent of eligible infants and toddlers had evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

**Discussion of Baseline Data:**

Region VII meets the compliance requirements on this indicator when you factor "family reason" for completion within 45-days into account. Increase in DD Case Management staff, weekly referral meetings between case management and infant development, and case review training has assisted this region in making progress on this indicator. The region continues to be challenged by the following issues: recruitment and hiring of professional staff, lack of available staff to complete hearing screenings, access to families (especially in Sioux County), and ability to increase supervisory time at BECEP.

**Case Review Data (April, May, June 2006) – Without Accounting for Family Reasons**

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 7	Statewide
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	+	% +	+	% +
45 Day Timeline	2	66.67%	25	75.76%
Multi-Disciplinary Evaluation	2	100%	24	96.00%
Gross Motors	2	100%	24	96.00%
Fine Motor	2	100%	25	100%
Vision	2	100%	20	80.00%
Hearing	2	100%	10	40.00%
Cognitive	2	100%	20	80.00%
Communication	2	100%	23	92.00%
Adaptive	2	100%	24	96.00%
Social/Emotional	2	100%	23	92.00%

Statewide, of the 33 files, there were 25 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region. Regionally, of the 3 files, 2 were within the 45 day timeline. Of those 2 within the 45 days, 100% met the additional criteria.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>State Comparison</u>
45 Day Timeline	66.67%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%
Fine Motor	100%				100%
Vision	100%				80.00%
Hearing	100%				40.00%
Cognitive	100%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

### Case Review Data (April, May, June 2006) –Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 7		Statewide	
	+	% +	+	% +
45 Day Timeline	3	100%	33	100%
Multi-Disciplinary Evaluation	3	100%	32	96.97%
Gross Motors	3	100%	31	93.94%

Fine Motor	3	100%	33	100%
Vision	3	100%	28	84.85%
Hearing	3	100%	14	42.42%
Cognitive	3	100%	28	84.85%
Communication	3	100%	31	93.94%
Adaptive	3	100%	32	96.97%
Social/Emotional	3	100%	31	93.94%

Statewide, of the 33 files, accounting for those past the 45 days due to family reasons, there were 33 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region. Regionally, of the 3 files, 3 were within the 45 day timeline. Of those 3 within the 45 days, 100% met the additional criteria.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>State Comparison</u>
45 Day Timeline	100%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%
Fine Motor	100%				100%
Vision	100%				80.00%
Hearing	100%				40.00%
Cognitive	100%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2006 (2006 - 2007)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2007 (2007 - 2008)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2008 (2008 - 2009)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2009 (2009 - 2010)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2010 (2010 - 2011)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1.. In Sioux county, involve Experienced Parent staff at time of intake.	12-30-07	Experienced Parent staff
2. Develop standards on the documentation of "family reason" vs. "agency reason" . Develop standards on "reasonable effort". Review and seek approval of RICC	6-30-08	BECEP and Standing Rock program coordinators, DD Program Administrator, RICC
3. Provide quarterly report to Region VII RICC regarding Infant Development and DD Case Management staffing patterns	Quarterly review, Review Improvement activity on 4-30-08	BECEP and Standing Rock program coordinators, DD Program Administrator, RICC

Monitoring Priority: Effective General Supervision Part C/Effective Transition

**Part C Priority Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:**

- A. IFSPs with transition steps and services;**
- B. Notification to LEA, if child potentially eligible for Part B; and**
- C. Transition conference, if child potentially eligible for Part B.**

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to LEA occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.

C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.

**Overview of Issue/Description of System Process:**

**Baseline Data:**

A. Statewide, 20 of the sampled children exiting Part C had an IFSP with transition steps and services included in their IFSP. 21 children exiting Part C were sampled. **95.24** percent had an IFSP with transition steps and services. Regionally, 100 percent had an IFSP with transition steps and services.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
7	1	1	100.00%
<b>Statewide</b>	20	21	<b>95.24%</b>

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Overall State</u>
# in Compliance	1				20
Of How Many	1				21
%	100				95.24
Statewide %	95.24				

B. Statewide, LEAs were notified for 20 of the sampled children who were exiting Part C and were potentially eligible for Part B. 21 children exiting Part C and potentially eligible for Part B were sampled. LEAs were notified for **95.24** percent of the sampled children who were exiting Part C and were potentially eligible for Part B. Regionally, LEAs were notified for 100 percent of the sampled children who were exiting Part C and were potentially eligible for Part B.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
7	1	1	100.00%
<b>Statewide</b>	20	21	<b>95.24%</b>

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Overall State</u>
# in Compliance	1				20
Of How Many	1				21
%	100				95.24
Statewide %	95.24				

C. Statewide, 15 of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. 21 children exiting Part C and potentially eligible for Part B were sampled. **71.43%** percent of the sample children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. Regionally, 0 percent of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
7	0	1	0.00%
<b>Statewide</b>	15	21	<b>71.43%</b>

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Overall State</u>
# in Compliance	1				20
Of How Many	1				21
%	100				95.24
Statewide %	95.24				

### Discussion of Baseline Data:

Due to the fact that only one case was pulled in determining performance on this indicator, it is difficult to say what systemic issues continue to exist in Region VII regarding transition. A fair amount of attention has gone into the area of transition. The following activities have occurred:

- Region VII Early Intervention staff were involved in a regional training in the fall, 2006 regarding the new state transition guidelines. The majority of special education units in Region VII were represented as well.
- A follow-up meeting to the fall training was being held in May to determine additional areas of concern.
- The state DPI and DD offices issued a joint prior notice to be used by local programs.
- Changes to the state data base helps collect the data needed for this indicator. BECEP has also developed an internal transition data base to track regional concerns.
- BECEP has developed a LEA notification system that insures notification is sent out monthly on children turning 2 or new to the EI system and then documents an acknowledgement of receipt.

Regional challenges include:

- Scheduling appropriately timed transition meetings due to lack of Part B during the summer.
- Determining extended school year services for children transitioning in the spring of the year or summer.
- Consistent practice of joint assessment across the region.
- Timely determination of Part B eligibility for children with language concerns.
- Completing two different outcome tools at the time of exit from Part C and entrance into Part B.
- Need for formal psychological testing to determine DD Case Management eligibility versus using testing and information that is already available.

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2006 (2006 - 2007)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2007 (2007 - 2008)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2008 (2008 - 2009)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2009 (2009 - 2010)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2010 (2010 - 2011)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Develop standards on the documentation of "family reason" vs. "agency reason" . Review and seek approval of RICC	6-30-08	BECEP and Standing Rock program coordinators, DD Program Administrator, RICC
2. Update the "Transition Partners" directory	6-30-08	RICC Coordinator
3. Conduct an annual regional transition meeting with Part B partners	6-30-08	RICC Coordinator
4. BECEP staff will receive additional training on enhancing IFSP transition outcomes	9-30-07	BECEP Program Coordinator
5. Inservice Infant Development and Case Management staff on new options for Medicaid access (Medicaid Buy-in and Medically Fragile Wavier).	12-30-07	Experienced Parent Specialist

Monitoring Priority: Effective General Supervision Part C/ General Supervision

**Part C Priority Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.**

**Measurement:**

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to priority areas.
  - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to such areas.
  - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification.
- # of EIS programs in which noncompliance was identified through other mechanisms.
  - # of findings of noncompliance made.
  - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – c divided by b times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

**Overview of Issue/Description of System Process:**

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

**Baseline Data: Case Review Data (April, May, June 2006)**

Overall Compliance by Region & Statewide

	Region 7 % in Compliance	State % in Compliance
Compliance (Y/N) Ratio Non-compliance: Compliant %	N 8/9 88.89%	N 7/9 77.78%

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Overall State</u>
# in Compliance	8				7
Of How Many	9				9
%	88.89				77.78
Statewide %	77.78				

### Indicator 9 Compliance Data Points: Case Review Data (April, May, June 2006)

Compliance % by Region & Component, & State

Monitoring Survey Item	Region 7 % in Compliance	State % in Compliance
IFSP Effective Date	100.00%	100.00%
Functional & Measurable	50.00%	47.54%
Location of Services	100.00%	100.00%
Individual or Group	100.00%	100.00%
Delivery Method	100.00%	100.00%
Funding Source	100.00%	100.00%
Service Duration	100.00%	100.00%
Parent's Rights Documented	100.00%	100.00%
Rationale	100.00%	63.16%
6 Month & Annual Review	0.00%	0.00%
Written Prior Notice Provided	0.00%	0.00%

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
Monitoring Survey Item	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Overall State</u>
IFSP Effective Date	100.00%				100.00%
Functional & Measurable	50.00%				47.54%
Location of Services	100.00%				100.00%
Individual or Group	100.00%				100.00%
Delivery Method	100.00%				100.00%
Funding Source	100.00%				100.00%
Service Duration	100.00%				100.00%
Parent's Rights Documented	100.00%				100.00%
Rationale	100.00%				63.16%
6 Month & Annual Review	0.00%				0.00%
Written Prior Notice Provided	0.00%				0.00%

### Indicator 9 Performance Data Points: Case Review Data (April, May, June 2006)

Progress % by Region & Component, & State

Monitoring Survey Item	Region 7 % of Progress	State % of Progress
Present Level of Performance	0.00%	14.83%
Child's Interest	25.00%	35.50%
IFSP Date	75.00%	88.82%
Minimum Participants Documented	25.00%	21.30%
Review of Pertinent Records	75.00%	75.11%
PLP Based on Objective Criteria	50.00%	54.20%
Early Literature	25.00%	55.19%
IFSP Included People Important to Family	0.00%	33.95%
Priorities Linked to Concerns, Strengths & Interests.	0.00%	16.72%
Included Family Interview	50.00%	56.04%
Priorities Ranked	0.00%	1.56%
Services and Supports Identified	75.00%	69.62%
Reflect Family Priorities	75.00%	39.72%
Developmentally Appropriate	100.00%	60.90%
Includes pre-literacy and language	25.00%	48.57%
Includes Routines Based Activities	50.00%	42.02%
Includes Use of Lay Language	50.00%	41.08%
Measurable Functional Activities	0.00%	46.19%
Frequency/Intensity Linked to Outcomes	25.00%	30.39%
Consultations Documented	75.00%	41.55%
Services	0.00%	21.39%
Devices	0.00%	23.66%
Discuss appropriate services	50.00%	22.50%
Review child's program options	50.00%	27.81%
Established Transition Plan	50.00%	19.06%
Steps taken to support child	50.00%	18.97%
Procedures to prepare child for new setting	0.00%	8.04%
Discussions of training of parents in training of future placement	0.00%	6.25%
Periodic Review Completed	100.00%	14.29%
Date and Team Members Included	100.00%	26.90%
Required IFSP Participants	100.00%	19.91%
	<b>Region 7 % of Progress</b>	<b>State % of Progress</b>
<b>Cumulative % toward 70% Target (gap)</b>	<b>35.71% (34.29%)</b>	<b>36.46% (33.54%)</b>

Monitoring Survey Item	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Region 7</u>	<u>Overall State</u>
Present Level of Performance	0.00%				14.83%
Child's Interest	25.00%				35.50%
IFSP Date	75.00%				88.82%
Minimum Participants Documented	25.00%				21.30%
Review of Pertinent Records	75.00%				75.11%
PLP Based on Objective Criteria	50.00%				54.20%
Early Literature	25.00%				55.19%
IFSP Included People Important to Family	0.00%				33.95%
Priorities Linked to Concerns, Strengths & Interests.	0.00%				16.72%
Included Family Interview	50.00%				56.04%
Priorities Ranked	0.00%				1.56%
Services and Supports Identified	75.00%				69.62%
Reflect Family Priorities	75.00%				39.72%
Developmentally Appropriate	100.00%				60.90%
Includes pre-literacy and language	25.00%				48.57%
Includes Routines Based Activities	50.00%				42.02%
Includes Use of Lay Language	50.00%				41.08%
Measurable Functional Activities	0.00%				46.19%
Frequency/Intensity Linked to Outcomes	25.00%				30.39%
Consultations Documented	75.00%				41.55%
Services	0.00%				21.39%
Devices	0.00%				23.66%
Discuss appropriate services	50.00%				22.50%
Review child's program options	50.00%				27.81%
Established Transition Plan	50.00%				19.06%
Steps taken to support child	50.00%				18.97%
Procedures to prepare child for new setting	0.00%				8.04%
Discussions of training of parents in training of future placement	0.00%				6.25%
Periodic Review Completed	100.00%				14.29%
Date and Team Members Included	100.00%				26.90%
Required IFSP Participants	100.00%				19.91%
Present Level of Performance	0.00%				14.83%
Child's Interest	25.00%				35.50%

#### Discussion of Baseline Data:

Region VII Infant Development and DD Case Management programs have exerted a great deal of effort in improving the development of IFSP's and meeting the requirements of the federal law. The following activities have occurred:

- All staff have attended training on completing the case review forms, understanding the federal law compliance requirements, and applying the requirements to IFSP development and service delivery.
- An IFSP cheat sheet and template have been developed by BECEP staff and implemented for use. Standing Rock has also had access to this tool.
- BECEP and Standing Rock are completing quarterly internal case reviews with the required staff.
- Standing Rock Early Childhood Program has completed the Accreditation Council Review process needed for licensure and has been issued a two-year approval.
- Standing Rock staff has access to the hardware and software needed to capture the IFSP information electronically.

- Additional state data base mechanisms have been developed to capture mandatory compliance data.
- Development of written policy for parent binders regarding change in Early Intervention staff.

Region VII strengths for this indicator include:

- No complaints have been filed regarding service provision.
- Region VII's RICC is meeting regularly, has active participation by parent members and community members, meets the required percentage of parent members, and has updated operating procedures.

Challenges include:

- Because the IFSP document is the major source of information for these compliance indicators, the document has become extensively long and more formal. This may make the document less family-friendly.
- Paperwork requirements have increased the workload of staff.

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2006 (2006 - 2007)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2007 (2007 - 2008)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2008 (2008 - 2009)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2009 (2009 - 2010)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2010 (2010 - 2011)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Develop and implement procedures on reporting compliance review feedback to individual staff members	9-30-07	BECEP and Standing Rock Program Coordinators
2. Review RICC membership and operational guidelines annually	12-30-07	RICC/RICC Coordinator